

## On the highest Lyubeznik number

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### *Abstract*

In this paper, we will prove that the highest Lyubeznik number  $\lambda_{d,d}(A)$  is one if  $A$  is a Cohen–Macaulay local ring containing a field, where  $d$  is the dimension of  $A$ .

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We assume that all rings are commutative and noetherian with identity throughout this paper.

### 1. Introduction

In this paper, we shall prove:

**THEOREM 1.** *Let  $A$  be a local ring containing a field with dimension  $d$ . If  $A$  is Cohen–Macaulay, then we have  $\lambda_{d,d}(A) = 1$ .*

The investigation of the structure of local cohomology modules  $H_Y^i(\mathcal{F})$  was initiated by Grothendieck and is a very interesting subject in a field of commutative algebra, where  $Y$  is a closed subscheme of a scheme  $X$  and  $\mathcal{F}$  is a quasi-coherent  $\mathcal{O}_X$ -module. Although several authors have developed very interesting results and deep theories (see, for example, [F1], [F2], [G1], [G2], [Ha1], [Ha3], [Ha4], [HaS], [HoR], [HuK], [HuL], [O], [PS], [Sh]), these modules are still very mysterious. For finiteness property (for example, cofiniteness, finiteness of Bass numbers), several results are known (see, for example, [D], [Yo], [DM], [Me]. See also, [Mo], [T] and [Ya] for results of local cohomology modules with supports in monomial ideals). In particular, Huneke and Sharp [HuS] and Lyubeznik [L1] proved remarkable results and further, Lyubeznik defined a numerical invariant of local rings with respect to local cohomology modules [L1, theorem-definition 4.1]:

*Definition 1.* Let  $A$  be a local ring of dimension  $d$  which admits a surjective ring homomorphism  $\pi: R \rightarrow A$ , where  $R$  is a regular local ring of dimension  $n$  containing a field. Set  $I = \ker \pi$  and let  $\mathfrak{m}$  be the maximal ideal of  $R$ . Then the Bass number  $\mu_p(\mathfrak{m}, H_I^{n-i}(R))$  is finite and depends only on  $A$ ,  $i$  and  $p$ , but neither on  $R$  nor on  $\pi$ . We denote this invariant by  $\lambda_{p,i}(A)$ , and we call this number the Lyubeznik number (or the  $(p, i)$ -Lyubeznik number).

A complete local ring containing a field is always a surjective image of a regular local ring containing a field. So, if  $A$  is a local ring containing a field, but not necessarily a surjective image of a regular local ring containing a field, one can set  $\lambda_{p,i}(A) = \lambda_{p,i}(A^\wedge)$ , where  $A^\wedge$  is the completion of  $A$  with respect to the maximal ideal.

We recall some basic properties of  $\lambda_{p,i}$  (cf. [L1, (4.4 i–v)]).

**THEOREM 2** (Lyubeznik). *Let  $A$  be a local ring of dimension  $d$  containing a field. Then the following assertions hold:*

- (i)  $\lambda_{p,i}(A) = 0$  if  $i > d$ ;
- (ii)  $\lambda_{p,i}(A) = 0$  if  $p > i$ ;
- (iii)  $\lambda_{d,d}(A) \neq 0$ ;
- (iv) if  $A$  is analytically normal, then  $\lambda_{d,d}(A) = 1$ ;
- (v) if  $A$  is a complete intersection, then  $\lambda_{d,d}(A) = 1$ .

These results lead Lyubeznik to ask the following question [L1, question 4.5].

*Question 1* (Lyubeznik). Is it true that  $\lambda_{d,d}(A) = 1$  for all  $A$ ?

Recently Walther answered this question negatively [W, proposition 3.2], using the Brodmann sequence, whose rings are not Cohen–Macaulay. So we refine the above question as follows:

*Question 2*. Is it true that  $\lambda_{d,d}(A) = 1$  for Cohen–Macaulay rings  $A$ ?

This is true for Cohen–Macaulay local rings  $A$  of characteristic  $p$  by the result of [PS, proposition 4.1], since the spectral sequence  $H_m^p H_I^q(R) \Rightarrow H_m^{p+q}(R)$  degenerates. In this paper, we affirmatively answer Question 2, that is we will prove that if  $A$  is a Cohen–Macaulay local ring containing a field of dimension  $d$ , then the highest Lyubeznik number  $\lambda_{d,d}(A)$  is one.

This paper is dedicated to Professor Yukitoshi Hinohara on the occasion of his seventieth birthday.

## 2. Proof of the lemmas

*Definition 2*. Let  $A$  be a ring. For a positive integer  $k$ , we say that  $A$  satisfies the Serre  $(S_k)$ -condition if it holds  $\text{depth}(A_P) \geq \inf(k, \text{ht}(P))$  for all  $P \in \text{Spec}(A)$  (cf. [Ma1, (17. I), p. 125]).

*Remark 1*. If a catenary local ring  $A$  satisfies the Serre  $(S_2)$ -condition, then  $A$  is equidimensional, that is to say, all the irreducible components of  $X = \text{Spec } A$  have the same dimension (cf. [Ha2, remark 2.4.1, p. 503]).

The following lemma is probably well known to experts.

**LEMMA 1**. *Let  $\phi: (A, \mathfrak{m}) \rightarrow (A', \mathfrak{m}')$  be a local homomorphism of local rings  $A, A'$  with the maximal ideals  $\mathfrak{m}, \mathfrak{m}'$ , respectively. Suppose that the going-down theorem holds for  $\phi$  (see [Ma1, (5. A), p. 31] for the definition) and  $\mathfrak{m}' = \mathfrak{m}A$ . If the dimension of  $A$  is equal to  $d$ , then the dimension of  $A'$  is equal to  $d$ .*

*Proof*. First note that if  $I$  is an ideal of  $A$ , then the extension  $\sqrt{I}A'$  of the radical of  $I$  is contained in the radical  $\sqrt{IA'}$  of the extension of  $I$ . Let  $x_1, \dots, x_d$  be a system of parameters of  $A$ . Then the radical  $\sqrt{(x_1, \dots, x_d)}$  is equal to  $\mathfrak{m}$ . It follows that  $\sqrt{(x_1, \dots, x_d)A'} \supseteq \sqrt{(x_1, \dots, x_d)A} = \mathfrak{m}A' = \mathfrak{m}'$ . Since  $\mathfrak{m}'$  is the maximal ideal of

$A'$ , we have  $\sqrt{(x_1, \dots, x_d)A'} = \mathfrak{m}'$ . Hence it holds that  $\dim A' \leq d = \dim A$ , since the arithmetic rank of  $\mathfrak{m}'$  is not more than  $d$ . On the other hand, since  $\mathfrak{m}$  is the maximal ideal, we have  $\mathfrak{m}A' \cap A = \mathfrak{m}$ . Since the going-down theorem holds for  $\phi$  and  $\mathfrak{m}A' \cap A = \mathfrak{m}$ , we have the inequality  $\text{htm} \leq \text{htm}'$  by the definition that the going-down theorem holds between  $A$  and  $A'$  (cf. [Ma1, (5. A), p. 31]). Then it follows that  $\dim A \leq \dim A'$ . We therefore conclude that  $\dim A = \dim A' = d$ .

We shall collect some results as lemmas for the proof of Proposition 1 and Theorem 1.

LEMMA 2. *Let  $(A, \mathfrak{m})$  be a local ring with the maximal ideal  $\mathfrak{m}$ . Then the following assertions hold:*

- (i)  $(A^\wedge, \mathfrak{m}A^\wedge)$  is a local ring with the maximal ideal  $\mathfrak{m}A^\wedge$ ;
- (ii) if  $A$  is Cohen–Macaulay (respectively regular), then  $A^\wedge$  is Cohen–Macaulay (respectively regular);
- (iii) if  $A$  is a homomorphic image of a Cohen–Macaulay local ring and satisfies the Serre  $(S_k)$ -condition, then  $A^\wedge$  satisfies the Serre  $(S_k)$ -condition for a positive integer  $k$ ;
- (iv) the natural map  $A \rightarrow A^\wedge$  is a faithfully flat extension;
- (v) if  $A = R/I$  for some local ring  $R$  and an ideal  $I$  of  $R$ , then it holds that  $A^\wedge = R^\wedge/IR^\wedge$ ;
- (vi) if the dimension of  $A$  is equal to  $d$ , then the dimension of  $A^\wedge$  is equal to  $d$ .

where  $A^\wedge$  is the completion of  $A$  with respect to the maximal ideal  $\mathfrak{m}$ .

*Proof.* Statement (i) follows from [Ma2, theorem, section 8 (4), p. 63] and statement (ii) follows from [Ma2, theorem 17.5 (ii), p. 136] and [Ma2, lines 13–14 in the proof of theorem 19.5 (ii), p. 157]. Further, statement (iii) follows from [Ma2, exercises to section 23, 23.2, p. 185], (iv) follows from [Ma1, (4. A), corollary, p. 27] and [Ma1, (23. L), corollary 1, p. 170], and (v) follows from [Ma2, theorem 8.11, p. 61]. Finally, since the natural map  $A \rightarrow A^\wedge$  is flat by (iv), the going-down theorem holds between  $A$  and  $A^\wedge$  by [Ma1, (5. D), theorem 4, p. 33]. Therefore assertion (vi) follows from Lemma 1.

LEMMA 3. *Let  $(A, \mathfrak{m})$  be a local ring with the maximal ideal  $\mathfrak{m}$ . Then the following assertions hold:*

- (i)  $A^{sh}$  is a local ring with the maximal ideal  $\mathfrak{m}A^{sh}$ ;
- (ii) if  $A$  is Cohen–Macaulay (respectively regular), then  $A^{sh}$  is Cohen–Macaulay (respectively regular);
- (iii) if  $A$  satisfies the Serre  $(S_k)$ -condition, then  $A^{sh}$  satisfies the Serre  $(S_k)$ -condition for a positive integer  $k$ ;
- (iv) the natural map  $A \rightarrow A^{sh}$  is a faithfully flat extension;
- (v) if  $A = R/I$  for some local ring  $R$  and an ideal  $I$  of  $R$ , then it holds that  $A^{sh} = R^{sh}/IR^{sh}$ ;
- (vi) if the dimension of  $A$  is equal to  $d$ , then the dimension of  $A^{sh}$  is equal to  $d$ .

where  $A^{sh}$  is a strict henselization of  $A$  (see [G3, (18.8), p. 144] for the definition).

*Proof.* Statements (i) and (iv) follow from [G3, proposition (18.8.8) (i), p. 146] and [G3, proposition (18.8.8) (iii), p. 147]. Statements (ii) and (iii) follow from [G3, corollary (18.8.13) (a–c), p. 149], and (v) follows from [Mil, p. 38, line 27]. Finally,

since the natural map  $A \rightarrow A^{sh}$  is flat by (iv), the going-down theorem holds between  $A$  and  $A^{sh}$  by [Ma1, (5. D), theorem 4, p. 33]. Therefore assertion (vi) follows from (i) and Lemma 1.

LEMMA 4. *Let  $(A, \mathfrak{m})$  be a local ring with the maximal ideal  $\mathfrak{m}$ . Let  $B$  be the ring  $((A^\wedge)^{sh})^\wedge$ . Then the following assertions hold:*

- (i)  *$B$  is a local ring with the maximal ideal  $\mathfrak{m}B$ ;*
- (ii) *if  $A$  is Cohen–Macaulay (respectively regular), then  $B$  is Cohen–Macaulay (respectively regular);*
- (iii) *if  $A$  is a homomorphic image of a Cohen–Macaulay local ring and satisfies the Serre  $(S_k)$ -condition, then  $B$  satisfies the Serre  $(S_k)$ -condition for a positive integer  $k$ ;*
- (iv) *the natural map  $A \rightarrow B$  is a faithfully flat extension;*
- (v) *if  $A = R/I$  for some local ring  $R$  and an ideal  $I$  of  $R$ , then it holds that  $B = ((R^\wedge)^{sh})^\wedge / I((R^\wedge)^{sh})^\wedge$ ;*
- (vi) *if the dimension of  $A$  is equal to  $d$ , then the dimension of  $B$  is equal to  $d$ .*

where  $A^\wedge$  is the completion of  $A$  with respect to the maximal ideal, and  $A^{sh}$  is a strict henselization of  $A$ .

*Proof.* We only prove statement (iii). Repeating a similar argument, the other assertions follow immediately from Lemmas 1–3, and [Ma1, (4. B), p. 27].

Let  $R$  be a Cohen–Macaulay local ring which surjectively maps to a local ring  $A$ . We denote the kernel of the surjection by  $I$ . Then  $A$  is isomorphic to  $R/I$ . If  $A$  satisfies the Serre  $(S_k)$ -condition, then  $A^\wedge$  satisfies the Serre  $(S_k)$ -condition by (iii) of Lemma 2, since  $A$  is a homomorphic image of a Cohen–Macaulay local ring  $R$ . Since  $R$  is a Cohen–Macaulay local ring,  $R^\wedge$  is also a Cohen–Macaulay local ring by (ii) of Lemma 2. Further  $A^\wedge$  is isomorphic to  $(R/I)^\wedge = R^\wedge / IR^\wedge$  by (v) of Lemma 2. Hence  $A^\wedge$  is a homomorphic image of a Cohen–Macaulay local ring  $R^\wedge$ .

Since  $A^\wedge$  satisfies the Serre  $(S_k)$ -condition,  $(A^\wedge)^{sh}$  satisfies the Serre  $(S_k)$ -condition by (iii) of Lemma 3. Since  $R^\wedge$  is a Cohen–Macaulay local ring,  $(R^\wedge)^{sh}$  is also a Cohen–Macaulay local ring by (ii) of Lemma 3. Further  $(A^\wedge)^{sh}$  is isomorphic to  $((R/I)^\wedge)^{sh} = (R^\wedge)^{sh} / I(R^\wedge)^{sh}$  by (v) of Lemma 3. Hence  $(A^\wedge)^{sh}$  is a homomorphic image of a Cohen–Macaulay local ring  $(R^\wedge)^{sh}$ .

Now since  $(A^\wedge)^{sh}$  is a homomorphic image of a Cohen–Macaulay local ring  $(R^\wedge)^{sh}$  and  $(A^\wedge)^{sh}$  satisfies the Serre  $(S_k)$ -condition,  $B = ((A^\wedge)^{sh})^\wedge$  satisfies the Serre  $(S_k)$ -condition by (iii) of Lemma 2. The proof of assertion (iii) is completed.

LEMMA 5. *Let  $(R, \mathfrak{m}, k)$  be a regular local ring of dimension  $n$  containing a field, and  $I$  an ideal of  $R$  of dimension  $d > 1$ . If  $R/I$  satisfies the Serre  $(S_2)$ -condition, then the following assertions hold:*

- (i)  $\text{inj.dim}_R H_I^{n-d}(R) = d$ ;
  - (ii)  $\text{inj.dim}_R H_I^j(R) < n - 1 - j$  if  $j > n - d$ ,
- where  $\text{inj.dim}_R T$  is the injective dimension of an  $R$ -module  $T$ .

*Proof.* Statement (i) is straightforward from [L1, (4.4iii)], so we only have to prove the assertion (ii). We proceed in several steps.

*Step 1.* First we prove that the ‘Second Vanishing Theorem’ holds for the local cohomology module  $H_I^j(R)$ , that is  $H_I^j(R) = 0$  for  $j \geq \dim R - 1$  (cf. [Sp, p. 143, line 15]).

Since  $A = R/I$  is a homomorphic image of regular local ring  $R$ ,  $B = ((A^\wedge)^{sh})^\wedge = ((R^\wedge)^{sh})^\wedge / I((R^\wedge)^{sh})^\wedge$  is also a homomorphic image of regular local ring  $((R^\wedge)^{sh})^\wedge$  by (ii) of Lemma 4. If  $A = R/I$  satisfies the Serre  $(S_2)$ -condition, then the local ring  $B = ((A^\wedge)^{sh})^\wedge = ((R^\wedge)^{sh})^\wedge / I((R^\wedge)^{sh})^\wedge$  also satisfies the Serre  $(S_2)$ -condition by (iii) of Lemma 4, and the dimension of  $B$  and  $((R^\wedge)^{sh})^\wedge$  are equal to  $d$  and  $n$  respectively by (vi) of Lemma 4. It follows from [Ha2, corollary 2.4, p. 503] that the punctured spectrum of  $\text{Spec}(B)$  is connected, that is  $\text{Spec} A$  is formally geometrically connected (see [HuL, theorem 2.9, p. 79] for the definition). Hence since the dimension of  $R/I$  is greater than one, we have  $H_I^n(R) = H_I^{n-1}(R) = 0$  by [HuL, theorem 2.9, p. 79].

*Step 2.* Next we shall prove that  $H_I^j(R)_Q = 0$  for all prime ideals  $Q$  containing  $I$  with  $\dim R/Q \geq n - 1 - j$  and  $\dim R/Q < d - 1$ .

Indeed, let  $Q$  be any prime ideal of  $R$  containing  $I$  with  $\dim R/Q \geq n - 1 - j$  and  $\dim R/Q < d - 1$ .

(a) If  $\dim R/Q \geq n - 1 - j$ , then we have:

$$\begin{aligned} j &\geq n - 1 - \dim R/Q \\ &= \dim R - 1 - \dim R/Q \\ &= (\dim R - \dim R/Q) - 1 \\ &= \text{ht}Q - 1 \\ &= \dim R_Q - 1, \end{aligned}$$

by [Ma2, section 31, lemma 2, p. 250].

(b) Further since  $R/I$  satisfies the Serre  $(S_2)$ -condition,  $R/I$  is equidimensional by Remark 1. Hence it holds that

$$\begin{aligned} \dim (R/I)_Q &= \text{ht}Q/I \\ &= \dim R/I - \dim ((R/I)/(Q/I)), \end{aligned}$$

by [Ma2, section 31, lemma 2, p. 250] again. If  $\dim R/Q < d - 1$ , then we have:

$$\begin{aligned} \dim R_Q/IR_Q &= \dim (R/I)_Q \\ &= \text{ht}Q/I \\ &= \dim R/I - \dim ((R/I)/(Q/I)) \\ &= \dim R/I - \dim R/Q \\ &> d - (d - 1) \\ &= 1, \end{aligned}$$

by [Ma2, section 31, lemma 2, p. 250].

We note that the localization  $(R/I)_Q = R_Q/IR_Q$  satisfies the Serre  $(S_2)$ -condition and  $R_Q$  is also a regular local ring.

Now we consider the category of modules over the regular local ring  $R_Q$ . It then follows from Step 1 that the Second Vanishing Theorem holds for the local cohomology module  $H_{IR_Q}^j(R_Q)$ , that is,  $H_I^j(R)_Q = 0$  for  $j \geq \dim R_Q - 1$  and  $\dim R_Q/IR_Q > 1$  by (a) and (b). We obtain the assertion of this step.

*Step 3.* In this step, we shall prove that  $\dim \text{Supp} H_I^j(R)_Q < n - 1 - j$  under the assumption that  $j > n - d$ .

Indeed, let  $Q$  be in  $\text{Supp} H_I^j(R)$ , then we have  $H_I^j(R)_Q = H_{IR_Q}^j(R_Q) \neq 0$ . From the

assumption  $j > n - d$ , we have

$$\begin{aligned} \dim R/Q &\leq n - 1 - j \\ &< n - 1 - (n - d) \\ &= d - 1. \end{aligned}$$

It follows from Step 2(b) that  $\dim R_Q/IR_Q > 1$ . Then it holds that

$$\begin{aligned} j &\leq \dim R_Q - 1 \\ &= \dim R - \dim R/Q - 1 \\ &= n - 1 - \dim R/Q, \end{aligned}$$

by the local Lichtenbaum–Hartshorne Vanishing Theorem and the Grothendieck Vanishing Theorem for the local cohomology module  $H_I^j(R)_Q = H_{IR_Q}^j(R_Q) \neq 0$ . It therefore follows from Step 2 that  $\dim R/Q < n - 1 - j$  for all prime ideals  $Q$  in  $\text{Supp}H_I^j(R)$ . We then conclude that  $\dim \text{Supp}H_I^j(R) < n - j - 1$ .

*Step 4.* Since the inequality  $\text{inj.dim}H_I^j(R) \leq \dim \text{Supp}H_I^j(R)$  holds by [HuS] and [L1, corollary 3.6, p. 52], the proof of the assertion (ii) is completed.

### 3. Proof of the main results

**PROPOSITION 1.** *Let  $R$  be a regular local ring containing a field of dimension  $n$ ,  $I$  an ideal of  $R$  of dimension  $d > 1$ . If  $R/I$  satisfies the Serre  $(S_2)$ -condition, then we have  $\lambda_{d,d}(R/I) = 1$ .*

*Proof.* The functor  $\Gamma_I(-)$  takes injectives into  $\Gamma_{\mathfrak{m}}(-)$ -acyclic objects in the category of  $R$ -modules. So by Grothendieck's spectral sequence, we obtain the following spectral sequence (cf. [G1, theorem A, p. 5]):

$$E_2^{p,q} = H_{\mathfrak{m}}^p H_I^q(R) \implies H^{p+q} = H_{\mathfrak{m}}^{p+q}(R).$$

The spectral sequence has the differentials as follows:

$$E_r^{d-r, n-d-(1-r)} \longrightarrow E_r^{d, n-d} \longrightarrow E_r^{d+1, n-d+(1-r)}.$$

We shall prove that all the differentials that come into and go out of  $E_r^{d, n-d}$  are 0 for all  $r \geq 2$ .

Now it follows from [L1, (4.4i), p. 54] that  $H_{\mathfrak{m}}^p H_I^{n-i}(R) = 0$  for all  $i > d$  and all  $p \geq 0$ . On the other hand, we calculate as follows:

$$\begin{aligned} -(-d + (1 - r)) &= d - 1 + r \\ &\geq d - 1 + 2 \\ &= d + 1 \\ &> d, \end{aligned}$$

for  $r \geq 2$ . Hence we have  $E_2^{d+r, n-d+(1-r)} = H_{\mathfrak{m}}^{d+r} H_I^{n-d+(1-r)}(R) = 0$ . So it holds that  $E_r^{d+r, n-d+(1-r)} = 0$  for all  $r \geq 2$ . Thus it follows that the differentials  $E_r^{d, n-d} \rightarrow E_r^{d+1, n-d+(1-r)}$  are 0 for all  $r \geq 2$ .

From Lemma 5, we calculate as follows:

$$\begin{aligned} \text{inj.dim } H_I^{n-d-(1-r)}(R) &< n - 1 - (n - d - (1 - r)) \\ &= d - r. \end{aligned}$$

Hence we have  $E_2^{d-r, n-d-(1-r)} = H_{\mathfrak{m}}^{d-r} H_I^{n-d-(1-r)}(R) = 0$ . So it holds that

$E_r^{d-r, n-d-(1-r)} = 0$  for all  $r \geq 2$ . Thus it follows that the differentials  $E_r^{d-r, n-d-(1-r)} \rightarrow E_r^{d, n-d}$  are 0 for all  $r \geq 2$ .

Further we have  $E_2^{p,q} = 0$  for all  $p \geq 0, q \geq 0$  with  $p + q = n, p < d$  by Lemma 5. Since  $\text{inj.dim} H_I^q(R) \leq \dim \text{Supp} H_I^q(R) \leq \dim V(I) = d$  by [HuS] and [L1, corollary 3.6, p. 52], it holds that  $E_2^{p,q} = 0$  for all  $p \geq 0, q \geq 0$  with  $p + q = n, p > d$ . It then follows that  $E_2$ -terms are all 0 in the total degree  $n$  except  $E_2^{d, n-d}$ .

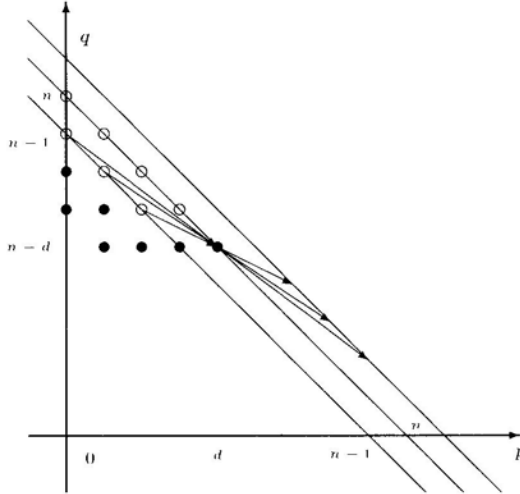


Fig. 1

We express  $E_2$ -terms in the above diagram (Fig. 1). The circles mean the vanishing of  $E_2$ -terms by Lemma 5. Furthermore all  $E_2$ -terms are 0 except the black circles.

Therefore the above spectral sequence collapses at  $E_2^{d, n-d}$  and we have isomorphisms:

$$\begin{aligned} H_m^d H_I^{n-d}(R) &= E_2^{d, n-d} \\ &\simeq E_\infty^{d, n-d} \\ &\simeq H^n \\ &= H_m^n(R). \end{aligned}$$

Since  $R$  is a regular local ring,  $H_m^n(R)$  is isomorphic to  $E(k)$ , where  $E(k)$  is the injective hull of  $k$ . Since  $H_m^d H_I^{n-d}(R)$  is isomorphic to  $E(k)$ , it therefore follows from [L1, lemma 1.4, p. 44] that  $\lambda_{d,d}(A) = 1$ . The proof of the proposition is completed.

*Proof of Theorem 1.* Completing the local ring  $A$  with respect to the topology defined by the maximal ideal, there is a surjection  $R \rightarrow A^\wedge$  from a regular local ring  $R$  containing a field to  $A^\wedge$  by Cohen's structure theorem. We denote its kernel by  $I$  and the maximal ideal of  $R$  by  $\mathfrak{m}$ .  $A^\wedge = R/I$  is also Cohen-Macaulay by (i) of Lemma 3. Especially  $A^\wedge = R/I$  satisfies the Serre  $(S_2)$ -condition by [Ma1, (17. I), p. 125, lines 8–9]. Therefore the theorem follows from Proposition 1.

**COROLLARY 1.** *Let  $A$  be a local ring containing a field of dimension  $d > 1$ , complete with the topology defined by the maximal ideal. If  $A$  satisfies the Serre  $(S_2)$ -condition, then we have  $\lambda_{d,d}(A) = 1$ .*

*Proof.* By Cohen's structure theorem, there is a surjection from a regular local ring  $R$  to  $A$ . Therefore the assertion follows from Proposition 1.

*Remark 2.* If the dimension of a ring  $A$  is equal to one, then it always holds that  $\lambda_{1,1}(A) = 1$ .

*Example 1.* The converse of the theorem, the proposition and the corollary do not hold in general. The following example is essentially due to Kazufumi Eto. Let  $R$  be the localization of  $k[x_1, x_2, x_3, x_4, x_5, x_6]$  by  $(x_1, x_2, x_3, x_4, x_5, x_6)$ .  $I = (x_1, x_2, x_3) \cap (x_2, x_3, x_4) \cap (x_3, x_4, x_5) \cap (x_4, x_5, x_6) \cap (x_5, x_6, x_1)$  and  $P = (x_1, x_2, x_3, x_5, x_6)$ . Here we note that  $I$  is equidimensional and  $\dim R/I = 3$ . Then we have  $\dim(R/I)_P = 2$  and  $IR_P = (x_1, x_2, x_3)R_P \cap (x_5, x_6, x_1)R_P$ . It follows that the punctured spectrum of  $\text{Spec}(R/I)_P$  is disconnected, that is  $\text{Spec } R/I$  is not locally connected in codimension one (see [Ha2, definition, p. 500] for the definition). It follows from [Ha2, corollary 2-4, p. 503] that  $\text{Spec } R/I$  does not satisfy the  $(S_2)$ -condition and therefore  $R/I$  is not Cohen–Macaulay.

On the other hand, Yanagawa shows that for pure square-free monomial ideals, the highest Lyubeznik number is one if and only if the corresponding ring is connected in codimension one (cf. [Ya, corollary 3-16]). The local space  $\text{Spec } R/I$  is connected in codimension one and  $I$  is a pure square-free monomial ideal in  $R$ . It follows from [Ya, corollary 3-16] that  $\lambda_{3,3}(R/I) = 1$ . While he used the combinatorial argument to prove [Ya, corollary 3-16], one can find the direct proof of the result that  $\lambda_{3,3}(R/I) = 1$  in [EK], by which we could point out that the earlier version of [Ya, corollary 3-16] was incorrect.

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